

02-12-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

*Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR §1.53(b))*

Attorney Docket No. 208859  
First Inventor ROELVINK, Petrus W.

Title ADENOVIRAL CAPSID CONTAINING  
CHIMERIC PROTEIN IX

Express Mail No. EF174628714US

## APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATION PARTS

1. ☒ Utility Patent Application Transmittal Form
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (including claims and abstract)  
[Total Pages 17]  
[Total Sheets 2]
4. ☒ Drawings  
[Total Sheets 2]
5. ☐ Combined Declaration and  
Power of Attorney [Total Pages ]
  - a. ☐ Unexecuted
  - b. ☐ Copy from prior application  
[Note Box 6 below]
    - i. ☐ Deletion of Inventor(s) Signed statement  
attached deleting inventor(s) named in the prior  
application
6. ☐ Incorporation by Reference: The entire  
disclosure of the prior application, from which an  
oath or declaration is supplied under Box  
5b is considered as part of the disclosure of  
the accompanying application and is hereby  
incorporated by reference.
7. ☒ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence  
Submission
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ Paper Copy
  - c. ☒ Statement verifying identity of above  
copies
10. ☐ Applicant requests early publication. (include  
publication fee under 37 CFR 1.18(d))
11. ☐ Assignment Papers  
(cover sheet and document(s))
12. ☐ 37 CFR 3.73(b) Statement (when there is an  
Assignee)
13. ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Information Disclosure Statement (IDS)
  - ☒ Form PTO-1449
  - ☒ Copies of Listed Documents
16. ☐ Preliminary Amendment
17. ☒ Return Receipt Postcard  
(Should be specifically itemized)
18. ☐ Certified Copy of Priority Document(s)
19. ☐ Request & Certification Under 35 USC  
122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached)
20. ☐ Other:

21. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information in (a) and (b) below:

- (a) ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior Application No. , filed on ,  
Prior application information: Examiner ; Group Art Unit:
- (b) Preliminary Amendment: Benefit of earlier filing date - 35 USC 120. The Commissioner is requested to  
amend the specification by inserting the following sentence before the first line:  
"This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of  
☐ Application No. , filed on , which is incorporated by reference."  
☐ International Application No. , filed on , which designates the U.S., and which is  
incorporated by reference."

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>				Attorney Docket No.	208859
<b>APPLICATION FEES</b>					
BASIC FEE					<b>\$ 710.00</b>
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	
Total Claims	40 - 20 =		20	x \$18.00	<b>\$360.00</b>
Independent Claims	1 - 3 =		0	x \$80.00	<b>\$ 0.00</b>
<input type="checkbox"/> Multiple Dependent Claim if applicable				+ \$270.00	<b>\$ 0.00</b>
Total of above calculations =					<b>\$1070.00</b>
Reduction by 50% for filing by small entity =					<b>(\$ 535.00)</b>
<input type="checkbox"/> Assignment fee if applicable				+ \$40.00	<b>\$ 0.00</b>
<input type="checkbox"/> Early publication fee if applicable				+ \$300.00	<b>\$ 0.00</b>
<b>TOTAL =</b>					<b>\$ 535.00</b>

22. ☒ Please charge my Deposit Account No. 12-1216 in the amount of \$ 355.00.

23. ☐ A check in the amount of \$            is enclosed.

24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:

a. ☒ Fees required under 37 CFR 1.16.

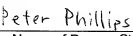
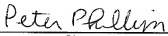
b. ☒ Fees required under 37 CFR 1.17.

25. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

**26. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number: 23460  <b>23460</b> <small>PATENT TRADEMARK OFFICE</small>	<input type="checkbox"/> Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
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Name	John Kily, Jr., Registration No. 30,763
Signature	
Date	February 9, 2001

Certificate of Mailing Under 37 CFR 1.10		
I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.		
 Name of Person Signing	 Signature	February 9, 2001 Date